

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Baker et al.	)	Group Art Unit Unknown
Appl. No.	:	10/020,063	)	
Filed	:	December 13, 2001	)	
For	:	SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME	)	
Examiner	:	Unknown	)	

SECOND PRELIMINARY AMENDMENT

United States Patent and Trademark Office  
PO Box 2327  
Arlington, VA 22202

Dear Sir:

In response to the Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures mailed on August 15, 2002, setting a two-months term, Applicants hereby request the entry of the "Sequence Listing" submitted concurrently herewith into the specification, starting on a new page, immediately following the claims. The present substitute Sequence Listing serves to replace the Sequence Listing filed on December 13, 2001.

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: August 28, 2002

By: Ginger R. Dreger

Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record  
2040 Main Street  
Fourteenth Floor  
Irvine, CA 92614



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## PATENT

Case Docket No. GNE.2830P1C65

Date: August 28, 2002

Page 1

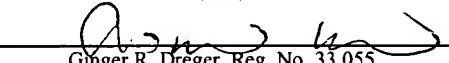
In re application of : Baker et al.  
App. No. : 10/020,063  
Filed : December 13, 2001  
For : SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND  
NUCLEIC ACIDS  
ENCODING THE SAME  
Examiner : Unknown  
Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 2327, Arlington VA 22202, on

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August 28, 2002

(Date)

  
Ginger R. Dreger, Reg. No. 33,055

United States Patent and Trademark Office  
PO Box 2327  
Arlington VA 22202

Sir:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated as shown below:

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CLAIMS AS FILED

CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims	20	—	20	= 0 × \$18	= \$0
Independent Claims	3	—	3	= 0 × \$84	= \$0
				\$280	= \$0

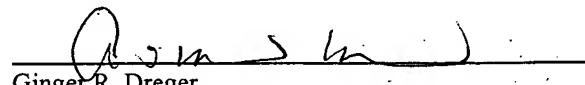
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**TOTAL ADDITIONAL FEE  
FOR THIS AMENDMENT \$0**

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- (X) Second Preliminary Amendment in 1 page;
- (X) Sequence Submission Statement;
- (X) Substitute Sequence Listing in 405 pages;
- (X) Substitute Sequence Listing in computer readable form;

- (X) Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
- (X) Correction of address
- (X) Return prepaid postcard;
- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

  
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Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record